

**STATE OF NEW HAMPSHIRE
INSURANCE DEPARTMENT**

In Re: James E. Sullivan

Docket No.: INS No. 07-061-EP

CONSENT ORDER AND AGREEMENT

RECEIVED
OCT 09 2007
NH INSURANCE DEPARTMENT

FACTS

A. On June 27, 2007, James E. Sullivan ("Respondent") filed an application for renewal of his non-resident insurance producer license ("Application") through the National Insurance Producer Registry. Respondent's non-resident insurance producer license was initially issued on December 19, 2001. Under Background Questions, the Respondent answered "No" to question 2, indicating that he had not been involved in an administrative proceeding regarding any professional or occupational license since his last renewal or initial application. In the Attestation Statement, the Respondent certified that the information submitted on the Application was true and complete.

B. Through the routine licensing investigation, the following information was found on the NAIC I-Site:

On January 26, 2006, the Massachusetts Division of Insurance issued a Cease and Desist Order under a Consent Order against the Respondent ordering him to cease placing policies under a name not on his license.

C. The facts contained in paragraphs A and B above constitute a violation of RSA 402-J:12 I, (a), which states:

"I. The commissioner may place on probation, suspend, revoke, or refuse to issue or renew an insurance producer's license, or may levy a penalty in accordance with RSA 400-A:15, III or any combination of actions for any one or more of the following causes:

(a) Providing incorrect, misleading, incomplete, or materially untrue information in the license application."

AGREEMENT AND ORDER

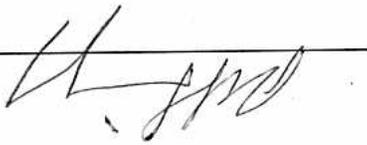
To resolve this matter, the Respondent consents and agrees to the following Order of the Commissioner:

1. The Respondent knowingly waives the statutory right to notice and hearing on this matter.

2. The Respondent shall pay an administrative penalty in the amount of \$250.00 to the New Hampshire Insurance Department. The Department acknowledges that the Respondent has paid the above penalty prior to the execution of this agreement.
3. This Consent Order and Agreement shall be fully enforceable in any Superior Court in the State of New Hampshire.

IT IS SO ORDERED.

**New Hampshire Insurance Department
Roger Sevigny, Commissioner**



Dated: 9-27-07

James E. Sullivan



Dated: 10/4/07