AIP Application Submission Requirements for Development-Construction Projects

Reminders pertaining to all application submissions:

- This regional office’s deadline to receive AIP application submissions is May 1st (on bid).
- Airport Sponsors must use current forms as part of their application submission; we cannot accept outdated/old forms (e.g. sponsor certifications; FAA Form 5100-101; FAA Form 5100-100; etc.).
- Do not combine a construction project with either a planning project, a land/easements project, and/or an environmental project in one application.
- Rule of thumb – keep the applications “clean.” So, one “stand alone” application for construction, one for planning, one for equipment, one for land/easements, and one for environmental. When in doubt, please contact our AIP Airports Program Specialist.
- There are certain projects/work elements that we do not want combined with other elements into a single application. These projects/work elements will need to be submitted as a “stand-alone” application. The projects that will need a “stand-alone” application are:
  - Wildlife Hazard Assessments
  - Reimbursable Agreements
  - Land and/or Easement Acquisition
- Application descriptions must be clear on what will be done with the requested AIP funds. Anyone reading the project description should be able to figure out what the application is for.
  - If the application is for a phase of an overall project, than the description must describe what will be funded for that particular phase.
- If an Airport Sponsor is required to submit an updated Exhibit A Property Map, then the Airport Sponsor must:
  - follow FAA Airports Division Exhibit A Standard Operating Procedure (SOP);
  - submit a completed Exhibit A SOP Checklist;
    - Please note that the signature block is for FAA staff only
  - submit 2 D size paper Exhibit A drawings (24 x 36 inches); and
  - submit a CD with a PDF version of the Exhibit A drawing.

If you are unsure as to whether or not you need to submit an Exhibit A Property Map, then please check with our FAA Compliance and Land Use Specialist.

This regional office has five different application requirements documents, one for each “project type” (e.g. planning, environmental, equipment acquisition, etc.). These documents are located on our regional website (http://www.faa.gov/airports/new_england/aip/)

If you have questions regarding application submission requirements, then please contact this regional office’s FAA AIP Program Specialist.
<table>
<thead>
<tr>
<th>Application Content</th>
<th>Application Set #1 and Set #2</th>
<th>Application Set #3 (copy of Set #1 or #2)</th>
</tr>
</thead>
<tbody>
<tr>
<td>SF-424 (OMB form; Please do not submit instruction pages)</td>
<td>Yes - with original signature</td>
<td>Yes (signed copy)</td>
</tr>
<tr>
<td>5100-100 form (pages 1, 2, 3, 4, 5, 6, &amp; 7 – all seven pages must be submitted) Please do not submit instruction pages</td>
<td>Yes</td>
<td>Yes (copy)</td>
</tr>
<tr>
<td>Supplemental Narrative page(s) Please refer to following pages for required items</td>
<td>Yes</td>
<td>Yes (copy)</td>
</tr>
<tr>
<td>Sketch of Project Area (preferably printed on 8.5” x 11” paper)</td>
<td>Yes</td>
<td>Yes (copy)</td>
</tr>
<tr>
<td>Drug Free Workplace Certification form</td>
<td>Yes - with original signature</td>
<td>Yes (signed copy)</td>
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<tr>
<td>Certification &amp; Disclosure Regarding Potential Conflicts of Interest</td>
<td>Yes - with original signature</td>
<td>Yes (signed copy)</td>
</tr>
<tr>
<td>Certification Regarding Lobbying (also known as Certification of Contracts, Grants, Loans &amp; Cooperative Agreements form)</td>
<td>Yes - with original signature</td>
<td>Yes (signed copy)</td>
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<tr>
<td>Sponsor Grant Assurances</td>
<td>Yes</td>
<td>Yes (copy)</td>
</tr>
<tr>
<td>A/C Checklist (Reminder - fill in the blank for item #34)</td>
<td>Yes</td>
<td>Yes (copy)</td>
</tr>
<tr>
<td>Exhibit A Property Map If required (submitted in PDF format via a CD)</td>
<td>Yes - with original signature</td>
<td>Yes (signed copy)</td>
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<tr>
<td>Certification of Consultant Selection Form</td>
<td>Yes - with original signature</td>
<td>Yes (signed copy)</td>
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<tr>
<td>Sponsor Certification for Projects Plans and Specification form</td>
<td>Yes - with original signature</td>
<td>Yes (signed copy)</td>
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<tr>
<td>Sponsor Certification for Equipment / Engineering/Construction Contracts form</td>
<td>Yes - with original signature</td>
<td>Yes (signed copy)</td>
</tr>
<tr>
<td>Sponsor Certification for Real Property Acquisition form</td>
<td>No</td>
<td>No</td>
</tr>
<tr>
<td>Sponsor Certification for Project Final Acceptance form Optional (if submitting with application, then original signature is required) Optional (if this is Sponsor’s first AIP project/grant (i.e. AIP #1)</td>
<td>Only if this is Sponsor’s first AIP project/grant (i.e. AIP #1)</td>
<td></td>
</tr>
<tr>
<td>Standard DOT Title VI Assurances (four pages)</td>
<td>Only if this is Sponsor’s first AIP project/grant (i.e. AIP #1)</td>
<td></td>
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</tbody>
</table>

Exhibit A Property Map:
- 2 printed D size drawings and 1 PDF are required, only if the Sponsor has acquired or released land/easements.
- Reminder - the Exhibit A SOP Checklist is required when submitting an Exhibit A Property Map drawing (http://www.faa.gov/airports/resources/sops/).
ANE AIP Development-Construction Project Application Submission

Supplemental Narrative Page(s) to include following content/statements:

- Brief Project Description (this needs to be clear and concise in order to explain what the requested federal funds will accomplish)
  - If project involves pavement work, then description needs to state if it's a full reconstruction, a mill & overlay, crack sealing and/or joint repair. Also include the PCI number.
  - If project involves runway pavement work, then include length (linear feet) of the pavement.
  - If project involves apron pavement work, then include area (square feet or square yards) of the pavement.
  - If project involves installing guidance sign, then include approximate number of signs to be installed and whether these are new or replacement signs. Also, identify on a drawing the installation location(s).
  - If project involves fence installation, then include approximate length (linear feet). Also, identify on a drawing the installation location(s).
  - If project involves installing obstruction lights/poles, then include approximate number of lights/poles to be installed. Also, identify on a drawing the installation location(s).
  - If project involves obstruction removal, then include what surface the obstruction removal is for (e.g. Rwy 32 approach and transitional surfaces) and the approximate number of parcels where the obstruction removal will take place. Also, identify on a drawing the parcel locations and numbers that the obstruction removal will take place.
  - If project involves noise mitigation (sound insulation), then include approximate number of homes to be insulated, approximate number of persons benefiting from this (occupants of the homes) and in which noise contour these homes fall under. Also, identify on a drawing where the homes are located that you hope to insulate.

- Cost breakdown of major work items.

- Project Schedule

- Environmental Status statement in accordance w/ most current FAA Order 5050.4 [e.g.: categorically excluded, FONSI issued on _______ (date), ROD issued on _________ (date), etc.].

- Statement on Disadvantage Business Enterprise status (e.g.: exempt, plan approved, plan on file awaiting approval, etc.)

- Statement regarding coordination with state agency (e.g. CAA, ME DOT, MA DOT, NH DOT, VTrans, etc.), where applicable.

- Federally funded construction projects must also have coordination with Federal Fish & Wildlife; SHPO, THPO (where applicable); Coastal Zone Management (where applicable). Statement is needed regarding this coordination.

- Exhibit A Statement (if you are not submitting updated drawings, then this statement is required):
  Per the FAA Airports Division’s Standard Operating Procedure (SOP) number 6.00, titled “FAA Review and Approval of An Airport Improvement Program (AIP) Grant Application,”:
An Exhibit A Property Map must be included with each application unless there has been no change in the Exhibit A Property Map since the last AIP grant and/or last Exhibit A submittal (with one exception – Exhibit A Maps are always required with land and/or easement acquisition projects/grants).

- If there has been no change, the previous Exhibit A Property Map must be referenced within the grant application. In the case of development project applications, this reference needs to be made in FAA Form 5100-100 Part III, Section E – “Remarks”.

  - The language to be used to make this reference is as follows:

    “I hereby certify that the Exhibit A Property Map dated ________________, and attached to the Grant Application for AIP 3-xx-xxxx-xxx-xxxx reflects, to the best of my knowledge, the current information as of this date.

    The above mentioned Exhibit A Property Map is, therefore, incorporated into this Grant Application by reference and made a part thereof.”

  - The blank for the “...Map dated ____________...” needs to be the revision date on the Exhibit “A” Property Map that was attached to a previous AIP grant.

  - The AIP number for the “...AIP 3-xx-xxxx-xxx-xxxx reflects...” needs to be the previous AIP grant that the current Exhibit A Property Map was a part of the application submission and grant offer.