

NH Schedule B

INDIRECT OWNERS

(Answer for Item 4 on the NH Application/Amendment Form)

Applicant full legal name: _____

Date: _____

1. Use Schedule B only in new applications to provide information on the *indirect* owners of the *applicant*. Use Schedule A in new applications to provide information on *direct* owners. File all amendments to Schedules A & B on Schedule C. **Complete each column.**
2. **Indirect owners:** with respect to each owner listed on Schedule A (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;
For purposes of this Schedule, a *person* beneficially owns any securities (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant or right to purchase the security.
 - (b) in the case of an owner that is a partnership, **all** general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
 - (c) in the case of an owner that is a trust, the trust, each beneficiary of 25% or more of the trust and each trustee; and
 - (d) in the case of an owner that is a Limited Liability Company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
 - (e) **Submit an MU2 (NH Criminal History Record Information Authorization Form), and a fingerprint card and fingerprint fee for each individual listed on Schedule B.**
3. Continue up the chain of ownership listing all 25% or more owners at each level. Once a public reporting company is reached, no ownership information further up the chain of ownership need be given.
4. Complete the "Status" column by entering status as a partner, trustee, shareholder, member etc. and if shareholder, class of securities owned (if more than one is issued).
5. In the "Publicly Traded" column, if the owner is a publicly traded company, enter the stock symbol; otherwise enter "NA".

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	Entity in Which Interest is Owned	Status	% Ownership	Publicly Traded	S.S. No., IRS Tax No. or Employer ID