

**STATE OF NEW HAMPSHIRE
INSURANCE DEPARTMENT**

In Re: Dane C. Dowell, III

Docket No.: INS No. 14-038-EP

**ORDER TO SHOW CAUSE
AND
NOTICE OF HEARING**

The New Hampshire Insurance Department (“NHID”) orders Dane C. Dowell, III (“Respondent”) to show cause why the New Hampshire Insurance Commissioner should not suspend or revoke his insurance producer license or levy an administrative fine, or both. In support of the Order to Show Cause and pursuant to RSA 541-A:31, RSA 400-A:17 and Ins Part 200, the NHID states as follows:

I. STATEMENT OF FACTS

1. The Respondent is a New Hampshire resident insurance producer, licensed to sell life insurance products. His insurance producer license expires on April 30, 2015.
2. Prior to obtaining a New Hampshire insurance producer license, the Respondent was licensed to practice law in Massachusetts.
3. However, on December 12, 2012 the Massachusetts Supreme Judicial Court (“SJC”) issued an Order of Term Suspension suspending the Respondent from the practice of law in Massachusetts for a period of one year and one day. This disciplinary action was based on the Respondent’s lack of competence and due diligence in representing two clients, one charged with assault with intent to murder, the other with two counts of murder. (A Copy of the SJC Order of Term Suspension of December 12, 2012 is attached hereto at Tab A; *see also* SJC Summary of Order of Term Suspension, attached at Tab B.)

4. The SJC subsequently filed its Order for Term Suspension with the United States District Court for the District of Massachusetts (“USDC”), which, in turn, issued an Order to Show Cause directing the Respondent to explain why the USDC should not impose discipline identical to that imposed by the SJC. The Respondent did not respond to the USDC Order to Show Cause, and on February 27, 2013, the USDC issued its own Order of Term Suspension. (Copies of the USDC January 14, 2013 Order to Show Cause and Order of Term Suspension of February 27, 2013 are attached hereto at Tab C.)

5. Sometime after the Respondent’s license to practice law in Massachusetts had been suspended, the SJC learned that the Respondent had applied for and was granted *pro hac vice* admission in Maryland state court based on his false representation of good standing with the Massachusetts Bar. On June 17, 2013, the SJC issued a Judgment Accepting [the Respondent’s] Affidavit of Resignation as a Disciplinary Sanction, and the Respondent’s name was stricken from the Massachusetts Roll of Attorneys. (A Copy of the SJC Judgment Accepting Affidavit of Resignation of June 17, 2013 is attached hereto at Tab D; *see also* SJC Summary of Judgment Accepting Affidavit of Resignation, attached at Tab E.)

6. As with its Order of Term Suspension, the SJC filed with the USDC its Judgment Accepting the [Respondent’s] Affidavit of Resignation as a Disciplinary Sanction. As it had done previously, the USDC issued an Order to Show Cause directing the Respondent to explain why the USDC should not impose discipline identical to that imposed by the SJC. The USDC issued the second Order to Show Cause on September 4, 2013. (A Copy of the USDC September 4, 2013 Notice of Filing of Disciplinary Action is attached at Tab F.)

7. The USDC mailed the Order to Show Cause referenced in the preceding paragraph by certified mail, return receipt requested. The United States Postal Service

subsequently returned the Order to Show Cause to the USDC with this explanation: “MOVED LEFT NO ADDRESS UNABLE TO FORWARD RETURN TO SENDER.” Noting, among other things, a local rule requiring admitted lawyers to notify the clerk of court of address changes, the USDC issued a Judgment of Disbarment on October 17, 2013, thereby disbarring the Respondent from the practice of law before the USDC. (The USDC’s Judgment of Disbarment is attached at Tab G.)

8. Although the Respondent was a New Hampshire licensed producer at all relevant times, he has never reported any of the aforementioned disciplinary proceedings to the NHID.

9. Nor did the Respondent report any of the aforementioned disciplinary proceedings when he submitted to the NHID a renewal application for his producer license on April 29, 2013. Specifically, in response to background question number two, which asked if the Respondent had been “named or involved as a party in an administrative proceeding including a FINRA sanction or arbitration proceeding regarding any professional or occupation license or registration, which has not been previously report to this insurance department,” the Respondent answered “NO.” (A copy of the Respondent’s April 29, 2013 New Hampshire renewal application is attached at Tab H.)

II. STATEMENT OF ISSUES

A. Whether Respondent violated RSA 402-J:17, I by failing to report the December 12, 2012 administrative action taken by the Massachusetts Board of Bar Overseers of the Massachusetts Supreme Judicial Court.

B. Whether Respondent violated RSA 402-J:17, I by failing to report the June 17, 2013 administrative action taken by the Massachusetts Board of Bar Overseers of the Massachusetts Supreme Judicial Court.

C. Whether Respondent violated RSA 402-J:17, I by failing to report the February 27, 2013 administrative action taken by the United States District Court for the District of Massachusetts.

D. Whether Respondent violated RSA 402-J:17, I by failing to report the September 4, 2013 administrative action taken by the United States District Court for the District of Massachusetts.

E. Whether Respondent violated RSA 402-J:12, I, (a) by providing incorrect, misleading or materially untrue information on his April 29, 2013 New Hampshire insurance license renewal application.

F. Whether, pursuant to RSA 402-J:12, I (h), the Respondent's conduct described in the Statement of Facts section of this Order to Show Cause evidences the use of dishonest practices or demonstrates incompetence, untrustworthiness or financial irresponsibility in the conduct of business in New Hampshire or elsewhere.

The NHID reserves the right to amend this statement of issues upon reasonable notice to the Commissioner (or his designated Representative) and the Respondent.

III. NEW HAMPSHIRE INSURANCE LAWS VIOLATED BY RESPONDENT

A. The Department alleges the Respondent violated RSA 402-J:17, I, RSA 402-J:12, I, (a) and RSA 402-J:12 I, (h).

The NHID reserves the right to amend this list of insurance laws violated by the Respondent upon reasonable notice to the Commissioner (or his designated Representative) and the Respondent.

IV. NOTICE OF HEARING

Pursuant to RSA 541-A:31 and Ins Part 200, the hearing in this matter shall commence on February 4, 2015 at 9:00 a.m. at the offices of the Department, which are located at 21 South Fruit Street, Suite 14 in Concord, New Hampshire.

James Fox, Esquire shall preside as the Hearing Officer in this matter.

Sarah Prescott shall serve as clerk to the Hearing Officer. The parties should direct all communications to Ms. Prescott, whose contact information is:

Sarah Prescott, Clerk
New Hampshire Insurance Department
21 South Fruit Street, Suite 14
Concord, NH 03301
Tel: (603) 271-2261
Fax: (603)271-1406
Email: sarah.prescott@ins.nh.gov

The Respondent has the right to be represented by legal counsel at his own expense. Should the Respondent elect to retain an attorney, his attorney shall file a Notice of Appearance with Ms. Prescott, at the earliest possible date. A copy of the Department's Notice of Appearance is enclosed with this Order.

Any party may request a transcript of the proceeding. The party requesting a transcript of the proceedings shall file a written request for a certified court reporter with the Hearing Officer at least 10 days prior to the scheduled hearing date. The costs incurred for the services of a certified court reporter shall be borne by the requesting party.

Richard P. McCaffrey shall appear as staff advocate representing the Insurance Department.

It is **SO ORDERED**.

NEW HAMPSHIRE INSURANCE DEPARTMENT

Date: 12-31-14



Roger A. Sevigny
Insurance Commissioner

CERTIFICATE OF SERVICE

The undersigned hereby certifies that a true and accurate copy of the foregoing Order to Show Cause and Notice of Hearing was sent this date by first-class mail, postage prepaid, and by certified mail, return receipt requested to Dane C. Dowell, 1184 Weirs Blvd Unit 1, Laconia, NH 03246-1630, this being his mailing address on file with the Department.

Date: 12.31.14



Carolyn Petersen
Enforcement Paralegal

TAB A

RECEIVED IN OFFICE OF
SARAH ALLISON THORNTON
CLERK OF COURT

COMMONWEALTH OF MASSACHUSETTS

DEC 26 2012

SUFFOLK, SS.

UNITED STATES DISTRICT COURT
FOR SUFFOLK COUNTY
BOSTON, MASSACHUSETTS
NO: BD-2012-114

IN RE: Dane C. Dowell, III

ORDER OF TERM SUSPENSION

This matter came before the Court, Cordy, J., on an Information and Record of Proceedings pursuant to S.J.C. Rule 4:01, § 8(6), with the Recommendation and Vote of the Board of Bar Overseers (Board) and the stipulation of the parties filed by the Board on December 4, 2012. Upon consideration thereof, it is ORDERED that:

1. Dane C. Dowell, III is hereby suspended from the practice of law in the Commonwealth of Massachusetts for a period of one (1) year and one (1) day effective immediately upon the entry of this Order;

It is FURTHER ORDERED that:

2. Within fourteen (14) days of the date of entry of this Order, the lawyer shall:

a) file a notice of withdrawal as of the effective date of the suspension with every court, agency, or

tribunal before which a matter is pending, together with a copy of the notices sent pursuant to paragraphs 2(c) and 2(d) of this Order, the client's or clients' place of residence, and the case caption and docket number of the client's or clients' proceedings;

b) resign as of the effective date of the suspension all appointments as guardian, executor, administrator, trustee, attorney-in-fact, or other fiduciary, attaching to the resignation a copy of the notices sent to the wards, heirs, or beneficiaries pursuant to paragraphs 2(c) and 2(d) of this Order, the place of residence of the wards, heirs, or beneficiaries, and the case caption and docket number of the proceedings, if any;

c) provide notice to all clients and to all wards, heirs, and beneficiaries that the lawyer has been suspended; that he is disqualified from acting as a lawyer after the effective date of the suspension; and that, if not represented by co-counsel, the client, ward, heir, or beneficiary should act promptly to substitute another lawyer or fiduciary or to seek legal advice elsewhere, calling attention to any urgency arising from the circumstances of the case;

d) provide notice to counsel for all parties (or, in the absence of counsel, the parties) in pending matters

that the lawyer has been suspended and, as a consequence, is disqualified from acting as a lawyer after the effective date of the suspension;

e) make available to all clients being represented in pending matters any papers or other property to which they are entitled, calling attention to any urgency for obtaining the papers or other property;

f) refund any part of any fees paid in advance that have not been earned; and

g) close every IOLTA, client, trust or other fiduciary account and properly disburse or otherwise transfer all client and fiduciary funds in his possession, custody or control.

All notices required by this paragraph shall be served by certified mail, return receipt requested, in a form approved by the Board.

3. Within twenty-one (21) days after the date of entry of this Order, the lawyer shall file with the Office of the Bar Counsel an affidavit certifying that the lawyer has fully complied with the provisions of this Order and with bar disciplinary rules. Appended to the affidavit of compliance shall be:

a) a copy of each form of notice, the names and addresses of the clients, wards, heirs, beneficiaries,

attorneys, courts and agencies to which notices were sent, and all return receipts or returned mail received up to the date of the affidavit. Supplemental affidavits shall be filed covering subsequent return receipts and returned mail. Such names and addresses of clients shall remain confidential unless otherwise requested in writing by the lawyer or ordered by the court;

b) a schedule showing the location, title and account number of every bank account designated as an IOLTA, client, trust or other fiduciary account and of every account in which the lawyer holds or held as of the entry date of this Order any client, trust or fiduciary funds;

c) a schedule describing the lawyer's disposition of all client and fiduciary funds in the lawyer's possession, custody or control as of the entry date of this Order or thereafter;

d) such proof of the proper distribution of such funds and the closing of such accounts as has been requested by the bar counsel, including copies of checks and other instruments;

e) a list of all other state, federal and administrative jurisdictions to which the lawyer is admitted to practice; and

f) the residence or other street address where

communications to the lawyer may thereafter be directed. The lawyer shall retain copies of all notices sent and shall maintain complete records of the steps taken to comply with the notice requirements of S.J.C. Rule 4:01, § 17.

4. Within twenty-one (21) days after the entry date of this Order, the lawyer shall file with the Clerk of the Supreme Judicial Court for Suffolk County:

a) a copy of the affidavit of compliance required by paragraph 3 of this Order;

b) a list of all other state, federal and administrative jurisdictions to which the lawyer is admitted to practice; and

c) the residence or other street address where communications to the lawyer may thereafter be directed.

By the Court, Cordy, J.

Maura S. Doyle, Clerk

Entered: December 12, 2012

A True Copy

Attest:

12-12-12
Date

ASSISTANT CLERK

TAB B

IN RE: DANE C. DOWELL, III**NO. BD-2012-114****S.J.C. Order of Term Suspension entered by Justice Cordy on December 12, 2012.¹****SUMMARY²**

The respondent was suspended for one year and one day for his misconduct in two unrelated client matters.

In the first matter, the respondent was retained by an individual facing multiple criminal charges of assault with intent to murder and was paid a flat fee of \$2,000 to defend him. Over the next seven months, the respondent performed little work of substance for the client. He also failed without good cause to appear at various court proceedings and failed to respond to the client's requests for information and documents throughout the representation. Due to the respondent's abandonment of the case, the court had to appoint a public defender to represent the client.

The respondent's lack of competence and diligence in representing the client violated Mass. R. Prof. C. 1.1, 1.2(a), 1.3 and 8.4(d) and (h); his failure to keep the client reasonably informed about the status of his case and to respond to his requests for information violated Mass. R. Prof. C. 1.4(a); and his abandonment of the client's case without giving the client notice and an opportunity to employ new counsel, his refusal to make files available to the client upon his request, and his failure to take other steps to protect the client's interests violated Mass. R. Prof. C. 1.4(a) and (b), 1.16(d), and 8.4(d) and (h).

The second matter involves the respondent's abandonment of another client facing criminal charges involving two counts of murder. In this matter, the respondent was paid a total of \$12,800 for his anticipated work in the case. Again, however, he performed little work of substance and failed without good cause to appear at scheduled court proceedings. Following his administrative suspension for failure to pay registration fees, the respondent ceased working on the case altogether. He took no steps to withdraw from the case or to identify successor counsel for the client. He also ceased responding to requests for information and documents from the client. And, he failed to comply with court orders requiring his (i) payment of a \$1,000 fine for failing to appear at a court proceeding, and (ii) turning over of his case files to the client within a specified time frame. At no time did the respondent refund any unearned portion of his collected fee.

The respondent's lack of competence and diligence in representing the client violated Mass. R. Prof. C. 1.1, 1.2(a), 1.3 and 8.4(d) and (h); his failure to comply with court orders

¹ The complete Order of the Court is available by contacting the Clerk of the Supreme Judicial Court for Suffolk County.

² Compiled by the Board of Bar Overseers based on the record filed with the Supreme Judicial Court.

violated Mass. R. Prof. C. 3.4(c); his failure to keep the client reasonably informed about the status of his case and to respond to his requests for information violated Mass. R. Prof. C. 1.4(a); his abandonment of the client's case without giving the client notice and an opportunity to employ new counsel, his failure to return the unearned fees, and his failure to take other steps to protect the client's interests, violated Mass. R. Prof. C. 1.4(a) and (b), 1.16(d), and 8.4(d) and (h); and his failure to withdraw from the case and return the unearned fees and defense files to the client was in violation of S.J.C. Rule 4:01, § 17(l)(a), (c), (e) and (f), and Mass. R. Prof. 3.4(c) and 8.4(d) and (h).

The respondent initially failed to cooperate with bar counsel's investigation of the above client matters. In so doing, he violated Mass. R. Prof. C. 8.1(b) and 8.4(d), (g) and (h). However, the respondent ultimately did respond to bar counsel's inquiries and agreed to submit a joint recommendation to the Board of Bar Overseers regarding the disposition of these matters.

On November 2, 2012, the parties submitted a stipulation to the Board of Bar Overseers in which the respondent admitted the truth of the above facts and stipulated to the above disciplinary rule violations. The parties recommended that the respondent be suspended from the practice of law for one year and a day.

On November 19, 2012, the Board of Bar Overseers voted to accept the stipulation of the parties and their proposed sanction. On December 12, 2012, the Supreme Judicial Court for Suffolk County (Cordy, J.) ordered that the respondent be suspended from the practice of law for one year and a day.

TAB C

UNITED STATES DISTRICT COURT
DISTRICT OF MASSACHUSETTS

IN RE: **DANE C. DOWELL, III**)
)
)
) MISC. BUSINESS DOCKET
) No.: 13-mc-91007-PBS
)
)
)
)

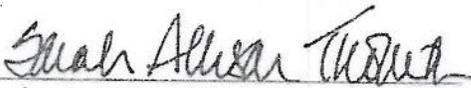
ORDER TO SHOW CAUSE

WHEREAS, the Supreme Judicial Court has caused to be filed with this Court a certified copy of a judgment or order demonstrating that the aforementioned attorney has been disciplined.

WHEREAS, notice of said filing has been served upon **DANE C. DOWELL, III**, along with a copy of said filing and a copy of Local Rule 83.6.

WHEREFORE, pursuant to Local Rule 83.6(2)(B)(ii), **DANE C. DOWELL, III**, is hereby ordered to inform this Court within thirty (30) days of any claim predicated upon the grounds set forth in subsection (2)(D) of Local Rule 83.6 that the imposition of the identical discipline by this Court would be unwarranted, the reasons therefor, and whether a hearing is requested.

DATED: January 14, 2013

BY: 
Sarah Allison Thornton
Clerk of Court

TAB D

RECEIVED IN OFFICE OF
CLERK COURT

JUN 26 2013

SUFFOLK, SS.

COMMONWEALTH OF MASSACHUSETTS UNITED STATES DISTRICT COURT
BOSTON, MASSACHUSETTS
SUPREME JUDICIAL COURT
FOR SUFFOLK COUNTY
NO: BD-2012-114

IN RE: Dane C. Dowell, III

JUDGMENT ACCEPTING AFFIDAVIT OF RESIGNATION
AS A DISCIPLINARY SANCTION

This matter came before the Court, Cordy, J., on an Affidavit of Resignation submitted by Dane C. Dowell, III, pursuant to S.J.C. Rule 4:01, § 15, a letter from assistant bar counsel to general counsel dated May 2, 2013, recommending that the the resignation of Dane C. Dowell, III be accepted as a disciplinary sanction, along with the Recommendation and Vote of the Board of Bar Overseers (Board) filed by the Board on June 14, 2013.

Upon consideration thereof, it is ORDERED and ADJUDGED that:

1) the Affidavit of Resignation of Dane C. Dowell, III be accepted as a disciplinary sanction effective immediately upon the entry of this Judgment, and the lawyer's name is forthwith stricken from the Roll of Attorneys.

It is FURTHER ORDERED that:

2. Within fourteen (14) days of the date of entry of this Judgment, the lawyer shall:

a) file a notice of withdrawal as of the effective date of the resignation with every court, agency, or tribunal before which a matter is pending, together with a copy of the notices sent pursuant to paragraphs 2(c) and 2(d) of this Judgment, the client's or clients' place of residence, and the case caption and docket number of the client's or clients' proceedings;

b) resign as of the effective date of the resignation all appointments as guardian, executor, administrator, trustee, attorney-in-fact, or other fiduciary, attaching to the resignation a copy of the notices sent to the wards, heirs, or beneficiaries pursuant to paragraphs 2(c) and 2(d) of this Judgment, the place of residence of the wards, heirs, or beneficiaries, and the case caption and docket number of the proceedings, if any;

c) provide notice to all clients and to all wards, heirs, and beneficiaries that the lawyer has resigned; that he is disqualified from acting as a lawyer after the effective date of the resignation; and that, if not represented by co-counsel, the client, ward, heir, or beneficiary should act promptly to substitute another

lawyer or fiduciary or to seek legal advice elsewhere, calling attention to any urgency arising from the circumstances of the case;

d) provide notice to counsel for all parties (or, in the absence of counsel, the parties) in pending matters that the lawyer has resigned and, as a consequence, is disqualified from acting as a lawyer after the effective date of the resignation;

e) make available to all clients being represented in pending matters any papers or other property to which they are entitled, calling attention to any urgency for obtaining the papers or other property;

f) refund any part of any fees paid in advance that have not been earned; and

g) close every IOLTA, client, trust or other fiduciary account and properly disburse or otherwise transfer all client and fiduciary funds in his possession, custody or control.

All notices required by this paragraph shall be served by certified mail, return receipt requested, in a form approved by the Board.

3. Within twenty-one (21) days after the date of entry of this Judgment, the lawyer shall file with the Office of the Bar Counsel an affidavit certifying that the lawyer has fully

complied with the provisions of this Judgment and with bar disciplinary rules. Appended to the affidavit of compliance shall be:

a) a copy of each form of notice, the names and addresses of the clients, wards, heirs, beneficiaries, attorneys, courts and agencies to which notices were sent, and all return receipts or returned mail received up to the date of the affidavit. Supplemental affidavits shall be filed covering subsequent return receipts and returned mail. Such names and addresses of clients shall remain confidential unless otherwise requested in writing by the lawyer or ordered by the court;

b) a schedule showing the location, title and account number of every bank account designated as an IOLTA, client, trust or other fiduciary account and of every account in which the lawyer holds or held as of the entry date of this Judgment any client, trust or fiduciary funds;

c) a schedule describing the lawyer's disposition of all client and fiduciary funds in the lawyer's possession, custody or control as of the entry date of this Judgment or thereafter;

d) such proof of the proper distribution of such funds and the closing of such accounts as has been requested by the bar counsel, including copies of checks

and other instruments;

e) a list of all other state, federal and administrative jurisdictions to which the lawyer is admitted to practice; and

f) the residence or other street address where communications to the lawyer may thereafter be directed.

The lawyer shall retain copies of all notices sent and shall maintain complete records of the steps taken to comply with the notice requirements of S.J.C. Rule 4:01, § 17.

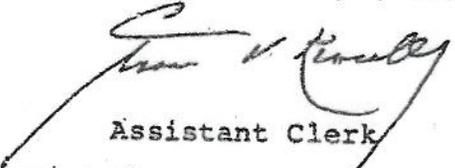
4. Within twenty-one (21) days after the entry date of this Judgment, the lawyer shall file with the Clerk of the Supreme Judicial Court for Suffolk County:

a) a copy of the affidavit of compliance required by paragraph 3 of this Judgment;

b) a list of all other state, federal and administrative jurisdictions to which the lawyer is admitted to practice; and

c) the residence or other street address where communications to the lawyer may thereafter be directed.

By the Court, (Cordy, J.)

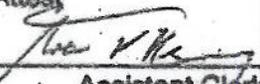

Assistant Clerk

A True Copy

Attest

Date

6/17/13


Assistant Clerk

Entered: June 17, 2013

TAB E



IN RE: DANE C. DOWELL, III

NO. BD-2012-114

S.J.C. Judgment Accepting Affidavit of Resignation As A Disciplinary Sanction entered by Justice Cordy on June 17, 2013.¹

SUMMARY²

This matter came before the Supreme Judicial Court on the respondent's affidavit of resignation pursuant to S.J.C. Rule 4:01, § 15.

Beginning in April of 2012 and ending in January of 2013, the respondent represented a client in a civil matter pending in Maryland state court. Throughout this time period, he was not a member in good standing of the Massachusetts Bar due to his administrative suspension on March 26, 2012 and his subsequent term suspension on December 12, 2012. In order to represent the client, the respondent applied for and was granted *pro hac vice* admission in Maryland state court based on his false representation of good standing with the Massachusetts bar. He was paid fees totaling \$16,500 to represent the client in the Maryland case; however, he performed little work of substance for the client and repeatedly failed to reply to her requests for information. The respondent failed to return the unearned portion of his fee to the client.

On June 3, 2013, the Board of Bar Overseers voted to recommend that the affidavit of resignation be accepted as a disciplinary sanction.

On June 17, 2013, the Supreme Judicial Court for Suffolk County accepted the respondent's affidavit of resignation as a disciplinary sanction.

¹ The complete Order of the Court is available by contacting the Clerk of the Supreme Judicial Court for Suffolk County.

² Compiled by the Board of Bar Overseers based on the record filed with the Supreme Judicial Court.

TAB F

**UNITED STATES DISTRICT COURT
DISTRICT OF MASSACHUSETTS**

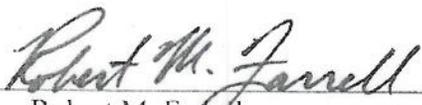
IN RE: **DANE C. DOWELL, III**)
)
)
) MISC. BUSINESS DOCKET
) No.: 13-mc-91007-PBS
)
)
)
)

NOTICE OF FILING OF DISCIPLINARY ACTION

Notice is hereby given to **DANE C. DOWELL, III**, of the filing with the United States District Court for the District of Massachusetts of a certified copy of a judgment or order demonstrating that you have been disciplined by the Supreme Judicial Court. A copy of that judgment or order is enclosed herein, along with a copy of Local Rule 83.6.

Also enclosed is a Order to Show Cause to which you are required to respond within thirty (30) days after service of this Notice and the Order upon you by mail, pursuant to this Court's Local Rule 83.6(2)(B).

DATED: September 4, 2013

BY: 
Robert M. Farrell
Clerk of Court

TAB G

TAB H



Transaction#427243123

[Return to Gateway](#) [View Search Results](#) [View XML Format](#) [View PIN Format](#) [Search Again](#) [Logout](#)
Dowell, Dane Clifford

Trans. Type: Resident License Renewal
 NIPR Trans: 427243123
Check I-SITE Listing
 SSN: XXX-XX-4947
 Natl. Prod. #: 16489895
 Date of Birth: 04/16/1981
 Resident State: NH
 Res. License #: 2099765
 Res. License Class: Producer

Applicant Info**Business Address:**

9 BONAIR AVENUE
 HAMPTON, NH 03842
 U.S.A.

Mailing Address:

9 BONAIR AVENUE
 HAMPTON, NH 03842
 U.S.A.

Residence Address:

9 BONAIR AVENUE
 HAMPTON, NH 03842
 U.S.A.

Applicant Info Cont.**Individual Applicant E-mail Address:**

DANE.DOWELL@ASUREAGROUP.COM

Business E-mail Address:

DANE.DOWELL@ASUREAGROUP.COM

Residence Phone #:

(603) 770-7365

Background Questions

For complete wording of the background questions go to: [Licensing Applications](#)

1: Have you been convicted of a crime, had a judgment withheld or deferred, or are you currently charged with committing a crime, which has not been previously reported to this insurance department?

No

1A: If you have a felony conviction involving dishonesty or breach of trust, have you applied for written consent to engage in the business of insurance in your home state as required by 18 USC 1033?

NA

1B: If so, was that consent granted? (Attach copy of 1033 consent approved by home state.)

NA

2: Have you been named or involved as a party in an administrative proceeding including a FINRA sanction or arbitration proceeding regarding any professional or occupational license or registration, which has not been previously reported to this insurance department?

No

3: Do you have a child support obligation in arrearage, which has not been previously reported to this insurance department?

No

4: In response to a "yes" answer to one or more of the Background Questions for this renewal application, are you submitting document(s) to the NAIC/NIPR Attachments Warehouse?

NA

- Attestation

1: I hereby certify that, under penalty of perjury, all of the information submitted in this application and attachments is true and complete. I am aware that submitting false information or omitting pertinent or material information in connection with this application is grounds for license revocation or denial of the license and may subject me to civil or criminal penalties.

2: Unless provided otherwise by law or regulation of the jurisdiction, I hereby designate the Commissioner, Director or Superintendent of Insurance, or other appropriate party in each jurisdiction for which this application is made to be my agent for service of process regarding all insurance matters in the respective jurisdiction and agree that service upon the Commissioner, Director or Superintendent of Insurance, or other appropriate party of that jurisdiction is of the same legal force and validity as personal service upon myself.

3: I further certify that I grant permission to the Commissioner, Director or Superintendent of Insurance, or other appropriate party in each jurisdiction for which this application is made to verify information with any federal, state or local government agency, current or former employer, or insurance company.

4: I further certify that, under penalty of perjury, a) I have no child-support obligation, b) I have a child-support obligation and I am currently in compliance with that obligation, or c) I have identified my child support obligation arrearage on this application.

5: I authorize the jurisdictions to which this application is made to give any information concerning me, as permitted by law, to any federal, state or municipal agency, or any other organization and I release the jurisdictions and any person acting on their behalf from any and all liability of whatever nature by reason of furnishing such information.

6: I acknowledge that I understand and will comply with the insurance laws and regulations of the jurisdictions to which I am applying for licensure.

7: I hereby certify that upon request, I will furnish the jurisdiction(s) to which I am applying, certified copies of any documents attached to this application or requested by the jurisdiction(s).

Applicants Certification and Attestation: Yes

Authorizing Officer

Submitter: Producer

Contact Information

Name: DANE DOWELL

Business E-mail Address: DANE.DOWELL@ASUREAGROUP.COM

Transaction Information

State: NH

State Fee: \$150.00

Date Sent: 04/29/2013

Trans Fee: \$5.00

Validation: Pass

Payment Method: Credit Card

Status: **Processed**

Customer: 83ERL

Customer Batch: ZRDYF9GDD

Customer Trans#: 10219170

Licenses/Lines of Authority

| License Class | Effective Date | Renew Date | Accepted | Comment Code | Comments |
|--------------------|----------------|------------|----------|--------------|--|
| Producer | 04/29/2013 | | Yes | 11322 | Your NH license has been approved. The State of New Hampshire WILL NOT mail a paper license to you. To obtain one free print of your paper license, and to view Continuing Education Transcripts without charge, visit our website, www.nh.gov/insurance , and select "License Status". You may need to wait 24 hours after receiving this message to print a license. |
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Intermediate Responses

Lines of Authority

