



The New Hampshire Department of Insurance conducts an annual review of the financial and marketing documents of all companies that desire to be considered for approved surplus lines activity, including those companies, which are currently listed. An attached schedule itemizes the documents, which are required, and the respective due dates.

COMPANIES NOT COMPLYING WITH THE FILING REQUIREMENTS WILL NOT BE CONSIDERED FOR THIS REVIEW AND WILL BE REMOVED FROM THE APPROVED LIST.

All documents listed on the accompanying schedule must be addressed to:

NEW HAMPSHIRE INSURANCE DEPARTMENT
EXAMINATION DIVISION
21 S FRUIT ST., SUITE 14
CONCORD NH 03301

Annual Statements and Quarterly Statements must be stamped "**Surplus Lines Insurer**"

Should you need additional information, please e-mail Mary Verville at Mary.Verville@ins.nh.gov.

**STATE OF NEW HAMPSHIRE
DEPARTMENT OF INSURANCE**

**21 SOUTH FRUIT STREET, SUITE 14
CONCORD, NEW HAMPSHIRE 03301**

APPLICATION FOR COMPANIES REQUESTING SURPLUS LINES AUTHORITY

Application is hereby made on behalf of the company herein named to be included in the annual review of companies applying for Surplus Lines Authority.

Name of Company _____
Mailing Address _____
City, State & zip code _____
NAIC Group Code _____
NAIC Company Code _____
Federal Tax Identification Number _____
Contact Person _____
e-mail address _____
Phone Number _____

Please complete this form and return with the company's 2009 Annual Statement and a check in the amount of \$250 made payable to: TREASURER, STATE OF NEW HAMPSHIRE by March 15, 2010.

The review process takes place annually during the summer months. All documents required must be received by the appropriate due date. Our normal procedure is to have the companies reviewed and approved or disapproved for inclusion on the revised list with an effective date of November each year.

Companies initiating filings after the April 30th due date will not be included in the current review process.

November 1, 2009

**STATE OF NEW HAMPSHIRE
DEPARTMENT OF INSURANCE**

GENERAL REQUIREMENTS

- Must provide a non-refundable annual review fee of \$250. Filings not accompanied with this fee will not be reviewed.
- Must have been in business five consecutive years. Annual Statements for the current and four preceding years must be filed (if applying in NH for the first time).
- Must have minimum policyholders' surplus of \$20,000,000.
- Must be licensed in at least one state having an insurance commissioner. (RSA 405:24).
- Only one company from any affiliated group may be on the approved list at any one time.
- Must be willing to provide a "meaningful" insurance business in New Hampshire. New Hampshire considers a meaningful business as a minimum of \$200,000 in direct premiums written per year.
- Must provide a **minimum** security deposit of \$500,000 when approved for surplus lines. All security deposits must consist of securities classified as NAIC class #1. A list of approved banks, custodial agreement letter as well as other security deposit information is available on our web site at <http://www.nh.gov/insurance/companies/security.htm>. Please note that the NH Insurance Department may request the security deposit be increased at any time.
- Must provide quarterly statements upon being listed.

DUE DATE: MARCH 15, 2010

- Annual statement for the year ended December 31, 2009 is due March 15, 2010.
- If applying for the first time, complete Annual Statements for the four prior years must also be provided.
- \$250 Annual review fee.

**STATE OF NEW HAMPSHIRE
DEPARTMENT OF INSURANCE**

DUE DATE: APRIL 30, 2010

- Actuarial Opinion, Management Discussion.
- Marketing Plan of Operation including a description of the various products to be offered and the premium volume expected in New Hampshire for three years. This should include coverage's, market plans, marketing materials, types of accounts, market segments, area of specialization and underwriting expertise.
- The most recently completed examination report, if not previously filed.

DUE DATE: MAY 17, 2010

- 1st Quarter 2010 Statement

DUE DATE: JUNE 1, 2010

- CPA report for year-end 2009

DUE DATE: AUGUST 16, 2010

- 2nd Quarter 2010 Statement

INCOMPLETE FILINGS

Incomplete applications will not be reviewed. Companies that were approved on the prior list risk being removed for lack of a complete application.