

From: Jurta, Mary
Sent: Wednesday, May 24, 2006 8:33 AM
To: Shaw, Andrea
Cc: Soucy, Donna; Griffin, Kim
Subject: Financial Resources of the Lakes Region

Hi Andrea,

My recollection is that you are in the midst of settlement negotiations with Financial Resources for issues arising out the their last exam. Preliminary reports from Anna Maria indicate that he still does not have a privacy policy or privacy procedures (other than having several shredders) and in this exam, similar to the last, he is not providing documents and it stonewalling the whole exam. Based on this, I would recommend that we not engage in any further settlement negotiations, but instead prepare to issue a show cause for license revocation when the exam is complete. Thanks, Mary

Exhibit to:

Appendix A Page A-11
Paragraph 8